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March 22, 2004

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Mail Stop: Patent Application

Re: U.S. Utility Patent Application
Application No. *To Be Assigned*; Filed: Herewith
(*Continuation of PCT/JP02/10891*)
For: **Hydraulic Transmission Vehicle**
Inventors: ISHIBASHI *et al.*
Our Ref: 0666.2240000/TGD/EDH



Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form (PTO/SB/17);
2. Request for Filing a Continuation or Divisional of an International Application (PTO/SB/13/PCT);
3. Continuation of International Application entitled:

Hydraulic Transmission Vehicle

and naming as inventors:

Fumio ISHIBASHI,
Yukio KUBOTA,
Yasuo NOMA, and
Akifumi KURODA

the application consisting of:

- a. A specification containing:

- i. 90 pages of description prior to the claims;
 - ii. 6 pages of claims (17) claims;
 - iii. a one page abstract;
- b. 59 sheets of drawings (Figures 1-18, 19a, 19b, 20-23, 24a, 24b, 25-40, 41a, 41b, and 42-66);
- c. An Application Data Sheet;
4. Preliminary Amendment;
5. Authorization to Treat A Reply As Incorporating An Extension of Time Under 37 C.F.R. § 1.136(a)(3);
6. Information Disclosure Statement;
7. PTO Form/SB/08b two pages citing 14 (fourteen) references (AA1-AN1), with a copy of each of the cited references attached;
8. Copy of the International Search Report, dated February 12, 2002;
9. Two (2) return postcards; and
10. Form PTO-2038 Credit Card Payment Form authorizing a charge in the amount of \$1,576.00 to cover: \$770.00 filing fee; \$516.00 independent claims in excess of three fees; and \$290.00 multiple dependent claim fees.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Commissioner for Patents
March 22, 2004
Page 3

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Tracy-Gene G. Durkin
Attorney for Applicants
Registration No. 32,831

TGD/EDH/nar
Enclosures

240736

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1,576.00

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Fumio ISHIBASHI
Examiner Name	To Be Assigned
Art Unit	To Be Assigned
Attorney Docket No.	0666.2240000/TGD/EDH

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☒ Other** ☐ None

☒ **Charge any deficiencies or credit any overpayments in Deposit Account: the fees to Deposit Acct. No. 19-0036

 Deposit
Account
Number
Deposit
Account
Name

19-0036

Sterne Kessler, Goldstein & Fox P.L.L.C.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	770.00
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1) (\$) 770.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
17	- 20 **= 0	X	
9	- 3 **= 6	X	86.00
Multiple Dependent			290.00

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 86	2201 43	Independent claims in excess of 3
1203 290	2203 145	Multiple dependent claim, if not paid
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 806.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for <i>ex parte</i> reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 950	2253 475	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing a brief in support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,330	2453 665	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 640	2503 320	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(q)	
1806 180	1806 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) -0-

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Tracy Gene G. Dorkin	Registration No. (Attorney/Agent)	32.831	Telephone	(202) 371-2600
Signature	<i>Tracy Gene G. Dorkin</i>	Date	3/22/04		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

DOCKET NUMBER	ANTICIPATION CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
0666.2240000	CLASS	SUBCLASS	To Be Assigned	To Be Assigned

Address to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT PCT/JP02/10891, filed on See 1 entitled Hydraulic Transmission Vehicle, which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	17 - 20 =	0	x \$ ____ =	\$
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	9 - 3 =	6	x \$ <u>86.00</u> =	516.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			x \$ <u>290.00</u>	290.00
				BASIC FEE (37 CFR 1.16(a))	\$770.00
				Total of above Calculations =	\$1,576.00
				Total =	\$1,576.00

- Enclosed are the specification, claims and drawing(s).
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☒ The Director is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment of Deposit Account No. 19-0036. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ _____ is enclosed.
- ☒ Payment by credit card. Form PTO-2038 is attached.
- ☒ Application Data Sheet is enclosed. See 37 CFR 1.76.
- ☒ If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:
☒ Continuation ☐ Divisional of prior PCT application No.: PCT/JP02/10891, filed October 21, 2002
[Page 1 of 2]

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION

8. ☐ A declaration under CFR 1.63 is enclosed.
9. ☒ Priority of foreign application number See 2 in Addendum, filed on See 3 in Addendum
in JAPAN is claimed under 35 U.S.C. 119(a)-(d).
- ☐ The certified copy is enclosed.
10. ☒ A preliminary amendment is enclosed.
11. ☒ Also enclosed:
See 4 in Addendum

Address all future correspondence to: (May only be completed by applicant, or attorney or agent or record).

USPTO Customer Number: 26111

Sterne, Kessler, Goldstein & Fox P.L.L.C.

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

3/22/04

Date

Tracy Gene G. Durkin

Signature

(202) 371-2600
Telephone Number

Tracy-Gene G. Durkin
Typed or printed name

32,831
Registration Number, if applicable

- ☐ Inventor(s)/Applicant(s)
- ☐ Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or agent of record
- ☐ Filed under 37 CFR 1.34(a)
Registration number if acting under 37 CFR 1.34(a) _____

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.

Addendum

1. October 21, 2002
2. 2001-323495, 2001-323835, 2001-326293, 2001-326136, 2001-385496
3. 10/22/2001, 10/22/2001, 10/24/2001, 10/24/2001, 12/19/2001
4. Fee Transmittal Form (PTO/SB/17); Authorization to Treat A Reply As Incorporating An Extension of Time Under 37 C.F.R. 1.136(a)(3); Information Disclosure Statement; PTO Form/SB/08b two pages citing 14 (fourteen) references (AA1-AN1), with a copy of each of the cited references attached; Copy of the International Search Report, dated February 12, 2002; and Two return postcards.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ISHIBASHI *et al.*

Appl. No.: *To Be Assigned* (Continuation
of PCT/JP02/10891; Int'l

Filing Date: October 21, 2002)

Filed: Herewith

For: **Hydraulic Transmission Vehicle**

Art Unit: *To Be Assigned*

Examiner: *To Be Assigned*

Atty. Docket: 0666.2240000/TGD/EDH

**Authorization to Treat a Reply as Incorporating an
Extension of Time Under 37 C.F.R. § 1.136(a)(3)**

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Tracy-Gene G. Durkin
Attorney for Applicants
Registration No. 32,831

Date: March 22, 2004

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